



**NICOLE SAUNDERS**

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## MEET NICOLE.

A TRUSTED MEMBER OF YOUR NWFA WEALTH MANAGEMENT TEAM.



Since early 2016, Nicole Saunders has served as Northwest Financial Advisors' Chief Compliance Officer (CCO) where she oversees and manages NWFA's compliance with regulatory requirements and internal policies.

Her 24-year career in the financial services industry began in 1993 as a Regulatory Reporting Manager for the Financial Industry Regulatory Authority (FINRA). Nicole then worked for Bank of America as a Compliance Officer. In 2006, she joined LPL Financial, growing her career to a Service Center Manager, and subsequently, took a role as an LPL Senior Branch Examiner. Prior to joining NWFA, she held the role of VP, LPL OSJ Manager at Sandy Spring Wealth Management.

Nicole holds a Bachelor of Science degree in Business Administration and Management from University of Maryland University College. She also holds FINRA Series 7, 63 and 24 licenses.\*

Ms. Saunders lives in Montgomery County, Maryland. She enjoys time with family and close friends and living a healthy and active lifestyle.

Contact Nicole at **703.810.1072 x103** or [nsaunders@nwflc.com](mailto:nsaunders@nwflc.com).

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